The Direction of Time: From the Global Arrow to the Local Arrow

Mario Castagnino,^{1,4} Luis Lara,² and Olimpia Lombardi³

Received June 30, 2003

In this paper we discuss the traditional approaches to the problem of the arrow of time. On the basis of this discussion we adopt a global and nonentropic approach, according to which the arrow of time has a global origin and is an intrinsic, geometrical feature of space–time. Finally, we show how the global arrow is translated into local terms as a local time-asymmetric flux of energy.

KEY WORDS: time arrows.

1. INTRODUCTION

Since the nineteenth century, the problem of the direction of time has been one of the most controversial questions in the foundations of physics. Many theoretical contributions have been made in the seeking of an answer to the problem. However, despite of all the debates, very little progress toward a consensus has been achieved. Our impression is that this situation is mainly due to the fact that different concepts are usually confused in the discussions and different problems are traditionally subsumed under the same label. For this reason, we will attempt to disentangle and clarify some of the issues involved in the debates about the direction of time.

In particular, we will argue that it is necessary to carefully distinguish between the problem of irreversibility and the problem of the arrow of time: whereas the first one can be addressed in local terms, the second one requires global considerations. On this basis, we will define the arrow of time as an intrinsic, geometrical feature of space–time, rejecting the traditional entropic approach according to which the direction of time is defined by the gradient of the entropy function of the universe.

¹Instituto de Astronomía y Física del Espacio, Casilla de Correos 67, Buenos Aires, Argentina.

² Departamento de Física, Universidad Nacional de Rosario, Rosario, Argentina.

³CONICET—Universidad de Buenos Aires, Buenos Aires, Argentina.

⁴ To whom correspondence should be addressed at Instituto de Astronomía y Física del Espacio, Casilla de Correos 67, Sucussal 28, 1428 Buenos Aires, Argentina; e-mail: castagni@ifir.edu.ar.

2. TIME-REVERSAL INVARIANCE AND IRREVERSIBILITY

In general, both concepts are invoked in the treatment of the problem of the arrow of time, but usually with no elucidation of their precise meanings; this results in confusions that contaminate many interesting discussions. For this reason, we will start from providing some necessary definitions.

Time-reversal invariance is a property of dynamical equations (laws) and, a fortiori, of the set of its solutions (evolutions). Reversibility is a property of a single solution of a dynamical equation.

Definition 2.1. A dynamical equation is *time-reversal invariant* if it is invariant under the transformation $t \to -t$; as a result, for each solution f(t), f(-t) is also a solution.

Definition 2.2. A solution of a dynamical equation is *reversible* if it corresponds to a closed curve in phase space.

It is quite clear that both concepts are different to the extent that they apply to different entities: equations and solutions, respectively. Furthermore, they are not even correlated in the sense that timereversal invariant equations always have reversible solutions. In fact, time-reversal invariant equations can have irreversible solutions.⁵

When both concepts are elucidated in this way, *the problem of irreversibility* can be clearly stated: *how to explain irreversible evolutions in terms of time-reversal invariant laws*. But once it is recognized that irreversibility and timereversal invariance apply to different entities, it is easy to find a conceptual answer to the problem: nothing prevents a time-reversal invariant equation from having irreversible solutions. Of course, this answer does not provide the full solution of the problem: a great deal of theoretical work is needed for obtaining irreversible evolutions from an underlying time-reversal invariant dynamics (see, for instance, Bohm, 1979; Bohm and Gadella, 1989; Castagnino and Gunzig, 1997a; Sudarshan *et al.*, 1978). Here we only mean that, in order to face the problem of irreversibility, the question about the arrow of time does not need to be invoked: the distinction between the two directions of time is usually assumed when the irreversible evolutions from the provide is usually assumed when the irreversible evolutions from the provide is usually assumed when the irreversible evolutions from the provide is usually assumed when the irreversible evolutions from the provide is usually assumed when the irreversible evolutions between the two directions of time is usually assumed when the irreversible evolutions from the provide is usually assumed when the irreversible evolutions from the provide is usually assumed when the irreversible evolutions from the provide is usually assumed when the irreversible evolutions from the provide is usually assumed when the irreversible evolutions from the provide is usually assumed when the irreversible evolutions from the provide is usually assumed when the irreversible evolutions and the provide is usually assumed when the irreversible evolutions from the provide is usually assumed when the irreversible evolutions from the provide is usually assumed when the irreversible evolutions from the provide is usually assumed when the irreversible evolut

⁵ For instance, let us consider the following autonomous system: $\frac{dq}{dt} = F(q, p), \frac{dp}{dt} = G(q, p)$, such that both equations are time-reversal invariant (F(q, p) = -F(q, -p), G(q, p) = G(q, -p)). This system defines an attractor when (i) there is a fixed point in the semiplane p > 0, (ii) the Jacobian matrix of the system computed in the fixed point has positive determinant $(\frac{\partial F}{\partial q} \frac{\partial G}{\partial p} - \frac{\partial F}{\partial p} \frac{\partial G}{\partial q} > 0)$, and (iii) the trace of the Jacobian matrix of the system computed in the fixed point is an attractor and the trajectories going to this attractor are irreversible. When the transformation $t \rightarrow -t$, $p \rightarrow -p$ is applied, the determinant of the acobian matrix does not change, but the trace changes its sign: the attractor turns into a repeller. The attractor and the repeller are a couple of t-symmetric twins (see section 4.1).

2489

lutions are conceived as processes going from nonequilibrium to equilibrium or to preparation to measurement toward the future.

3. WHAT IS "THE PROBLEM OF THE ARROW OF TIME"?

The problem of the arrow of time owes its origin to the intuitive asymmetry between past and future. The main obstacle to be encountered in answering this question lies in our anthropocentric perspective: the difference between past and future is so deeply rooted in our language and our thoughts that it is very difficult to shake off these asymmetric assumptions. In fact, traditional discussions around the problem of the arrow of time are usually subsumed under the label "the problem of the direction of time," as if we could find an exclusively physical criterion for singling out the direction of time, identified with what we call "the future." But there is nothing in physics that distinguishes, in a nonarbitrary way, between past and future as we conceive them: physics does not include the word "future" with the sense it has in our ordinary language. It might be objected that physics implicitly assumes this distinction with the use of temporally asymmetric expressions, like "future light cone," "initial conditions," "increasing time," and so on. However this is not the case, and the reason relies on the distinction between "conventional" and "substantial."

Two objects are *formally identical* when there is a permutation that interchanges the objects but does not change the properties of the system to which they belong. In physics it is usual to work with formally identical objects: the two lobes of a light cone, the two spin senses, etc.

- i. We will say that we establish a *conventional* difference when we call two formally identical objects with two different names, e.g., when we assign different signs to the two spin senses.
- ii. We will say that the difference between two objects is *substantial* when we give different names to two objects which are not formally identical (see Penrose, 1979; Sachs, 1987). In this case, even though the names are conventional, the difference is substantial. e.g., the difference between the two poles of the theoretical model of a magnet is conventional since both poles are formally identical; the difference between the two poles of the Earth is substantial because in the north pole there is an ocean and in the south pole there is a continent (and the difference between ocean and continent remains substantial even if we conventionally change the names of the poles).

Once this point is accepted, it turns out to be clear that physics only distinguishes between "past" and "future" in a conventional way. Therefore, the problem cannot yet be posed in terms of singling out the future direction of time: the problem of the arrow of time becomes the problem of finding a *substantial* *difference* between the two temporal directions. But if this is our central question, we cannot project our independent intuitions about past and future for solving it without beginning the question. If we want to address the problem of the arrow of time from a perspective purged of our temporal intuitions, we must avoid the conclusions derived from subtly presupposing time-asymmetric notions. As Price (1996) claims, it is necessary to stand at a point outside of time, and thence to regard reality in atemporal terms: this is *"the view from nowhen."* This atemporal standpoint prevents us from using the temporally asymmetric expressions of our ordinary language (as "past" and "future") in a nonconventional way: the assumption about the difference between past and future or between preparation and measurement is not yet legitimate in the context of the problem of the arrow of time.

But then, what does "the arrow of time" mean when we accept this constraint? Of course, the traditional expression coined by Eddington has only a metaphorical sense: its meaning must be understood by analogy. We recognize the difference between the head and the tail of an arrow on the basis of its geometrical properties; therefore, we can substantially distinguish between both directions, head-to-tail and tail-to-head, independently of our particular perspective. Analogously, we will conceive *the problem of the arrow of time* in terms of *the possibility of establishing a substantial distinction between the two directions of time on the basis of exclusively physical arguments*.

4. TRADITIONAL APPROACHES

4.1. The Traditional Local Approach

The traditional local approach owes its origin to the attempts of reducing thermodynamics to statistical mechanics: in this context, the usual answer to the problem of the arrow of time consists in defining the future as the direction of time in which entropy increases. How ever, already in 1912 Ehrenfest and Ehrenfest (1959) noted that, if the entropy of a closed system increases toward the future, such increase is matched by a similar one in the past of the system. In other words, if we trace the dynamical evolution of a nonequilibrium system at the initial time back into the past, we will obtain states that are more uniform than the nonequilibrium initial state. Gibbs' answer to the Ehrenfests' challenge was based on the assumption that probabilities are determined from prior events to subsequent events. But this answer clearly violates the "nowhen" standpoint: probabilities are blind to temporal direction; then, any resource to the distinction between prior and subsequent events commits a petitio principii by presupposing the arrow of time from the start.

It is interesting to note that this old discussion can be generalized to the case of any kind of irreversible evolution arising from time-reversal invariant laws. In fact, time-reversal invariant equations always produce "*t-symmetric twins*," that is, two mathematical structures symmetrically related by a time-reversal transformation: each "twin," which usually represents an irreversible evolution, is the temporal mirror image of the other "twin." For instance, electromagnetism provides a pair of advanced and retarded solutions, that are usually related with incoming and outgoing states in scattering situations as described by Lax-Phillips scattering theory (Lax and Phillips, 1979). In irreversible quantum mechanics, the analytical extension of the energy spectrum of the quantum system's Hamiltonian into the complex plane leads to poles in the lower half-plane (usually related with decaying unstable states), and symmetric poles in the upper half-plane (usually related with growing unstable states) (see Castagnino and Laura, 1997). However, at this level the twins are only conventionally different: we cannot distinguish between advanced and retarded solutions or between lower and upper poles without assuming temporally asymmetric notions, as the asymmetry between past and future or between preparation and measurement. Here the real challenge consists in supplying a nonconventional criterion for choosing one of the twins as the physically relevant: such a criterion must establish a substantial difference between the two members of the pair. But it is precisely this kind of criterion what exceeds the context of local physics.

The problem can also be posed in different terms. Let us accept that we have solved the irreversibility problem; so we have the description of all the irreversible evolutions, say, decaying processes, of the universe. However, since we have not yet established a substantial difference between both directions of time, we have no way to decide toward which temporal direction each decay proceeds. Of course, we would obtain the arrow of time if we could coordinate the processes in such a way that all of them parallelly decay toward the same temporal direction. But this is precisely what local physics cannot offer: only by means of global considerations all the decaying processes can be coordinated. This means that the global arrow of time plays the role of the background scenario where we can meaningfully speak of the temporal direction of irreversible processes, and this scenario cannot be established by local theories that only describe phenomena confined in small regions of space–time.

4.2. The Traditional Global Approach

When, in the late nineteenth century, Boltzmann developed the probabilistic version of his theory in response to the objections raised by Loschmidt and Zermelo, he had to face a new challenge: how to explain he highly improbable current state of our world. In order to answer this question, Boltzmann (1897) offered the first cosmological approach to the problem. Since this seminal work, many authors have related the temporal direction past-to-future to the gradient of the entropy function of the universe. For instance, Feynman asserts: "For some reason, the universe at one time had a very low entropy for its energy content, and since then entropy has increased. So that is the way toward future. That is the origin of all irreversibility" (Feynman *et al.*, 1964; Mattews, 1979). In a similar sense, Davies claims that "There exists an arrow of time only because the universe originates in a less-than-maximum entropy state" (Davies, 1994). Even if these authors admit the need of global arguments for solving the problem of the arrow of time, they coincide in considering that it must be addressed in terms of entropy.

The global entropic approach rests on two assumptions: that it is possible to define entropy for a complete cross-section of the universe, and that there is an only time for the universe as a whole. However, both assumptions involve difficulties. In the first place, the definition of entropy in cosmology is still a very controversial issue: there is not a consensus regarding how to define a global entropy for the universe. In fact, it is usual to work only with the entropy associated with matter and radiation because there is not yet a clear idea about how to define the entropy due to the gravitational field. In the second place, when general relativity comes into play, time cannot be conceived as a background parameter which, as in prerelativistic physics, is used to mark the evolution of the system. Therefore, the problem of the arrow of time cannot legitimately be posed, from the beginning, in terms of the entropy gradient between the two ends of a linear and open time.

Nevertheless, these points are not the main difficulty: there is a conceptual argument for abandoning the traditional entropic approach. Entropy is a phenomenological property: a given value of entropy is compatible with many configurations of a system. The question is whether there is a more fundamental property of the universe which allows us to distinguish between both temporal directions. On the other hand, if the arrow of time reflects a substantial difference between both directions of time, it is reasonable to think that it is an intrinsic property of time, or better, of space–time, and not a secondary feature depending on a phenomenological property. For these reasons we will follow Earman's "*Time Direction Heresy*" (Earman, 1974), according to which the arrow of time is an intrinsic, geometrical property of spacetime which does not need to be reduced to a nontemporal feature as entropy. In other words, the geometrical approach to the problem of the arrow of time has conceptual priority over the entropic approach, since the geometrical properties of the universe are more basic than its thermodynamic properties.

5. CONDITIONS FOR A GLOBAL AND NONENTROPIC ARROW OF TIME

5.1. Temporal Orientability

In a Minkowski space–time, it is always possible to define the class of all the future light semicones (lobes) and the class of all the past light semicones (where the labels "future" and "past" are conventional). In general relativity the metric can always be locally approximated, in small regions of space–time, to the Minkowski form. However, on the large scale, we do not expect the manifold to be flat because

gravity can no longer be neglected. Many different topologies are consistent with Einstein's field equations; in particular, the possibility arises of space-time being curved along the spatial dimension in such a way that the spacelike sections of the universe become the three-dimensional analogous of a Moebius band; in technical terms it is said that the space-time is temporally nonorientable.

Definition 5.1. A space–time is *temporally orientable* iff there exists a continuous nonvanishing vector field on it which is timelike with respect to its metric.

By means of this field, the set of all lobes of the manifold can be split into two equivalence classes, C_+ and C_- : the lobes of C_+ contain the vectors of the field and the lobes of C_- do not contain them. On the other hand, in a temporally nonorientable space-time it is possible to transform a future pointing timelike vector into a past pointing timelike vector by means of a continuous transformation that always keeps nonvanishing timelike vectors timelike; therefore, the distinction between future lobes and past lobes cannot be univocally definable on a global level. This means that the temporal orientability of space-time is a precondition for defining a global arrow of time, since if space-time is not temporally orientable, it is not possible to distinguish between the two temporal directions for the universe as a whole.

However, not all accept this conclusion. For instance, Mattews (1979) claims that a space-time may have a regional but not a global arrow of time if the arrow is defined by means of local considerations. However, even from this local approach (which we have rejected in the previous section), temporal orientability cannot be avoided. Let us suppose that there were a local non-time-reversal invariant law L, which defines regional arrows of time that disagree when compared by means of continuous timelike transport. The trajectory of the transport will pass through a frontier point between both regions: in a region around this point the arrow of time will be not univocally defined, and this amounts to a breakdown of the validity of L in such a point. But this fact contradicts the methodological principle of universality, unquestioningly accepted in contemporary cosmology, according to which the laws of physics are valid in all points of the space-time. The strategy to escape this conclusion would consist in refusing to assign any meaning to the timelike continuous transport. This strategy would only be acceptable if the two regions with different arrows were physically isolated: this amounts to the disconnectedness of the space-time. But this fact would contradict another methodological principle of cosmology, that is, the principle of uniqueness, according to which there is only one universe and completely disconnected space-times are not usually considered.⁶ These arguments show that the possibility of time arrows pointing

⁶Even though there are quantum cosmologies exhibiting disconnected space-times, such models only play an explanatory role since they are not testable in principle. Anyway, even if disconnected

to opposite directions in different regions of the space-time is not an alternative seriously considered in contemporary cosmology.

Astronomical observations provide empirical evidence that makes implausible the temporal non-orientability of our space–time. In particular, there is no astronomical observation of temporally inverted behavior in some (eventually very distant) region of the universe.⁷ On the other hand, observational evidence in favor of the standard Friedman–Lemâitre-Robertson–Walker (FLRW) models plays the role of indirect evidence for temporal orientability, since these space–times are temporally orientable.

5.2. Cosmic Time

As it is well known, general relativity replaces the older conception of spacethrough-time by the concept of space-time, where time becomes a dimension of a four-dimensional manifold. But when the time measured by a physical clock is considered, each particle of the universe has its own *proper time*, that is, the time registered by a clock carried by the particle. Since the curved space-time of general relativity can be considered locally flat, it is possible to synchronize the clocks fixed to particles whose parallel trajectories are confined in a small region of space-time. But, in general, the synchronization of the clocks fixed to all the particles of the universe is not possible. Only in certain particular cases all the clocks can be coordinated by means of a cosmic time, which has the features necessary to play the role of the temporal parameter in the evolution of the universe.

The issue can also be posed in geometrical terms. A space–time may be such that it is not possible to partition the set of all events into equivalent classes such that (i) each one of them is a spacelike hypersurface, and (ii) the hypersurfaces can be ordered in time. There is a hierarchy of conditions which, applied to a temporally orientable spacetime, avoid "anomalous" temporal features (see Hawking and Ellis, 1973). The strongest condition is the existence of a global time.

Definition 5.2. A global time function on the Riemannian manifold *M* is a function $t : M \to \mathcal{R}$ whose gradient is everywhere timelike.

In other words, the value of the global time function increases along every future directed nonspacelike curve. The existence of such a function guarantees

space-times were allowed, each connected region could be considered as a universe by itself, where timelike continuous transport must be valid. This fact is relevant since we are interested in explaining the time direction of our own connected universe.

⁷ In fact, supernovae evolutions always follow the same pattern (from "birth" to "death"), and there is no trace of an inverted pattern in the whole visible universe. This is a relevant fact when we consider that supernovae are the markers used to measure the longest distances in our universe, corresponding to objects near the observability horizon.

that the space-time is globally splittable into hypersurfaces of simultaneity which define a foliation of the space-time (see Schutz, 1980).

Nevertheless, the fact that the space-time admits a global time function does not yet permit to define a notion of simultaneity in an univocal manner and with physical meaning. In order to avoid ambiguities in the notion of simultaneity, we must choose a particular foliation. The foliation r according to which all the worldline curves are orthogonal to all the hypersurfaces $\tau = \text{const.}$ is the proper choice, because orthogonality recovers the notion of simultaneity of special relativity for small regions (tangent hyperplanes) of the hypersurfaces $\tau = \text{const.}$ (for the necessary conditions see Misner *et al.*, 1973). However, even if this condition selects a particular foliation, it permits that the proper time interval between two hypersurfaces of simultaneity depends on the particular worldline considered for computing it. If we want to avoid this situation, we must impose as an additional constraint: the proper time interval between two hypersurfaces $\tau = \tau_1$ and $\tau = \tau_2$ must be the same for all worldline curves. In this case, the metric results

$$ds^2 = dt^2 - h_{ii} dx^i dx^j \tag{1}$$

where *t* is the *cosmic time* and $h_{ij} = h_{ij}(t, x^1, x^2, x^3)$ is the three-dimensional metric of each hypersurface of simultaneity.

Of course, the existence of a cosmic time imposes a significant topological and metric limitation on the space-time. This means that, with no cosmic time, there is not a single time which can be considered as the parameter of the evolution of the universe and, therefore, it is nonsensical to speak of the two directions of time for the universe as a whole. Therefore, the possibility of defining a cosmic time is a precondition for meaningfully speaking of a global arrow of time. This fact supplies an additional argument against the entropic approach, which takes for granted the possibility of defining the entropy function of the universe. But this amounts to the assumption that (i) the space-time can be partitioned in spacelike hypersurfaces on which the entropy of the universe can be defined, and (ii) the space-time possesses a cosmic time or, at least, a global time on which the entropy gradient can be computed. When the possibility of space-times with no cosmic time is recognized, it is difficult to deny the conceptual priority of the geometrical structure of space-time over entropic features in the context of our problem.

The question about the existence of a cosmic time has not a single answer for all possible relativistic universes. But, what can we say about our universe? Cosmology offers a simple answer on the basis of the cosmological principle and the assumption of expansion. Since the universe is spatially homogeneous and isotropic on the large scale, it is possible to find a family of spacelike hypersurfaces which can be labeled by the proper time of the worldlines that orthogonally thread through them: these labels define the cosmic time. In the Robertson–Walker metric corresponding to flat (or k = 0) FLRW models:

$$ds^{2} = dt^{2} - a^{2}(t)(dx^{2} + dy^{2} + dz^{2})$$

the cosmic time is represented by the variable t, and the scale factor a is a scalar only function of t; this is the time by means of which cosmologists estimate the age of the universe. In this sense, FLRW models recover a notion of time analogous to the conception of prerelativistic physics, where time is an ordering parameter with respect to which the evolution of the system is described.

5.3. Time-Asymmetry

Of course, temporal orientability is merely a necessary condition for defining the global arrow of time, but it does not provide a physical, nonarbitrary criterion for distinguishing between the two directions of time. As we will see, such a distinction requires the time-asymmetry of the universe.

It is usually accepted that the obstacle for defining the arrow of time lies in the fact that the fundamental laws of physics are time-reversal invariant.⁸ Nevertheless, this common position can be objected on the basis of the elucidation of the concepts of time-reversal invariance and time-symmetry: whereas time-reversal invariance is a property of dynamical equations (laws), time-symmetry is a property of a single solution (evolution) of an dynamical equation.

Definition 5.3. A solution f(t) of a dynamical equation is *time-symmetri* if there is a time t_S such that $f(t + t_s) = f(t - t_s)$.

Therefore, the time-reversal invariance of an equation does not imply the time-symmetry of its solutions: a time-reversal invariant law may be such that all or most of the possible evolutions relative to it are individually time-asymmetric. Price (1996) illustrates this point with the familiar analogy of a factory which produces equal numbers of left-handed and right-handed corkscrews: the production as a whole is completely unbiased, but each individual corkscrew is asymmetric.

It is quite clear that these considerations are not applicable to the field equations as originally stated. However, the existence of a cosmic time allows to formulate the issue in familiar terms: under this condition, Einstein's field equations are time-reversal invariant in the sense that if the $h_{ij}(t, x^1, x^2, x^3)$ of Eq. (1) is a solution, $h_{ij}(-t, x^1, x^2, x^3)$ is also a solution. But the time-reversal invariance of these equations does not prevent us from describing a time-asymmetric universe whose

⁸ The exception is the law that rules weak interactions; but they are so weak that it is difficult to see how the macroscopic arrow of time can be derived from them. Therefore, as it is usual in the literature, we will not address this question in this paper.

space-time isasymmetric regarding its geometrical properties along the cosmic time. This idea can also be formulated in terms of the concept of time-isotropy.

Definition 5.4. A temporally orientable space-time (M, g) (where M is a fourdimensional pseudo-Riemannian manifold and g is a Lorentzian metric for M) is *time-isotropic* if there is a diffeomorphism d of M onto itself which reverses the temporal orientations but preserves the metric g.

However, when we want to express the temporal symmetry of a space-time having a cosmic time, it is necessary to strengthen the definition.

Definition 5.5. A temporally orientable space-time which admits a cosmic time *t* is *time-symmetric* with respect to some spacelike hypersurface $t = \alpha$, where α is a constant, if it is time-isotropic and the diffeomorphism *d* leaves fixed the hypersurface $t = \alpha$.

Intuitively this means that, from the hypersurface $t = \alpha$, the space-time looks the same in both temporal directions. Therefore, if a temporally orientable spacetime having a cosmic time is time-asymmetric, we will not find a spacelike hypersurface $t = \alpha$ which splits the space-time in two "halves," one the temporal mirror image of the other regarding their intrinsic geometrical properties.

When we turn our attention to the standard models of present-day cosmology, we find that it is not difficult to apply these concepts. In FLRW models, the timesymmetry of space-time may manifest itself in two different ways according to whether the universe has singular points in one or in both temporal extremities.⁹ Big Bang–Big Chill universes are manifestly time-asymmetric: since the scale factor a(t) increases with the cosmic time t, there is no hypersurface $t = \alpha$ from which the space-time looks the same in both temporal directions. In Big Bang-Big Crunch universes, on the contrary, a(t) has a maximum value: therefore, the space-time might be time-symmetric about the time of maximum expansion: this is the case of some FLRW models with dust and radiation. However, in more general cases (e.g. inflationary models) it is necessary to add one or many fields that represent the matter-energy of the universe. Many interesting results have been obtained, for instance, by modeling matter-energy as scalar fields $\phi_k(t)$: homogeneity is retained and the time-reversal invariance of the field equations is given by the fact that, if $[a(t), \phi_k(t)]$ is a solution, $[a(-t), \phi_k(-t)]$ is also a solution. In these cases, if we call the time of maximum expansion t_{ME} , the scale factor a(t) may be such that $a(t_{ME} + t) \neq a(t_{ME} - t)$ (see, for instance, the models in Castagnino et al., 2000, 2001). This means that a Big Bang-Big Crunch universe may be a time-asymmetric object with respect to the metric of the space-time: this

⁹ This depends on the values of the factor k and of the cosmological constant A.

asymmetry, essentially grounded on geometrical considerations, allows us to distinguish between the two directions of the cosmic time, independently of entropic considerations.

Up to this point we have argued for the possibility of describing timeasymmetric universes by means of time-reversal invariant laws. But, what is the reason to suppose that time-asymmetry has high probability? In order to complete the argument, we will demonstrate that time-symmetric universes are highly improbable to the extent that time-symmetric solutions of the universe equations have measure zero in the corresponding phase space.

Let us consider some model of the universe equations, that is, Einstein's equations plus the particular laws governing the fields present in the universe. All known examples have the following two properties (e.g. see Castagnino *et al.*, 2000, 2001, but there are many other examples):

- 1. They are time-reversal invariant, namely, invariant under the transformation $t \rightarrow -t$.
- 2. They are time-translation invariant, namely, invariant under the transformation $t \rightarrow t + const^{10}$ (homogeneous time).

Let us consider the generic case of a FLRW universe with radius *a* and matter represented by a neutral scalar field ϕ . The dynamical variables are now *a*, $\overset{\bullet}{a}$, ϕ , ϕ . They satisfy a generic Hamiltonian constraint¹¹:

$$H(a, \overset{\bullet}{a}, \phi, \phi) = 0 \tag{2}$$

which reduces the dimension of phase space from 4 to 3; then, we can consider a phase space of variables a, ϕ, ϕ and

$$a = f(\overset{\bullet}{a}, \phi, \phi) \tag{3}$$

a function obtained solving Eq. (2).

If we want to obtain a time-symmetric continuous¹² solution such that $a \ge 0$,¹³ there must be a time t_S with respect to which a is symmetric:

$$a(t_{\rm S}+t) = a(t_{\rm S}-t)$$
 and $\overset{\bullet}{a}(t_{\rm S}) = 0$

¹¹ H is the 00 component of Einstein equation (4).

¹⁰ We are referring to the equations that rule the behavior of the universe, not to the particular solutions that normally do not have time-translation symmetry.

¹² We will disregard noncontinuous solutions since normally information do not pass through discontinuities and we are only considering *connected* universes where information can go from a point to any other timelike connected point.

¹³ As only a^2 appears in a FLRW metric, we will consider just the case $a \ge 0$ since the point a = 0 is actually a singularity that cuts the time evolution.

In order to obtain complete time-symmetry, ϕ must also be symmetric about t_S . There are two cases: even symmetry

$$\phi(t_{\rm S}+t) = \phi(t_{\rm S}-t)$$
 and $\phi(t_{\rm S}) = 0$

and odd symmetry

$$\phi(t_{\rm S} + t) = -\phi(t_{\rm S} - t)$$
 and $\phi(t_{\rm S}) = 0$

This means that time-symmetric trajectories necessarily pass trough the axes $(0, \phi, 0)$ or $(0, 0, \phi)$ of the phase space. From these "initial" conditions we can propagate, using the evolution equations, the corresponding trajectories; this operation will produce two surfaces that contain the trajectories with at least one point of symmetry, that is, that contain all the possible time-symmetric trajectories. Both surfaces have dimension 2 < 3 (namely, the dimension of our phase space). The usual Liouville measure of these sets is zero, and also any measure absolutely continuous with respect to it. In this way we have proved that, for generic models of the universe, the solutions are time-asymmetric with the exception of a subset of solutions of measure zero. q.e.d.

This theorem can be easily generalized to the case where ϕ has many components, or to the case of many fields with many components. Some of these fields may be fluctuations of the metric: in this case, we must Fourier transform the equations, and this would allow us to reproduce the theorem only with *t* functions. Since properties 1 and 2 (time-reversal invariance and time-translation invariance) are also true in the classical statistical case, the theorem can be also demonstrated in this case.¹⁴ And also in the quantum case, albeit some quantum gravity problems like time definition (Castagnino, 1989; Castagnino and Lombardo, 1993; Castagnino Mazzitelli, 1990).

6. FROM THE GLOBAL ARROW TO THE LOCAL ARROW

As we have seen, in a temporally orientable space-time a continuous nonvanishing timelike vector field $\gamma^{\mu}(x)$ can be defined all over the manifold. At this stage, the universe is *temporally orientable* but not yet *temporally oriented*, because the distinction between $\gamma^{\mu}(x)$ and $-\gamma^{\mu}(x)$ is just conventional. Now time-asymmetry comes into play. In a temporally orientable time-asymmetric space-time, any time t_A splits the manifold into two sections that are different to each other: the section $t > t_A$ is *substantially* different than the section $t < t_A$. We can chose any point x_0 with $t = t_A$ and conventionally consider that $-\gamma^{\mu}(x_0)$ points toward $t < t_A$ and $\gamma^{\mu}(x_0)$ points toward $t > t_A$ or vice versa: in any case we have established a substantial difference between $\gamma^{\mu}(x_0)$ and $-\gamma^{\mu}(x_0)$. We can conventionally call

¹⁴ When the phase space has infinite dimensions, it is better to use the notion of dimension instead of that of measure.

"future" the direction of $\gamma^{\mu}(x_0)$ and "past" the direction of $-\gamma^{\mu}(x_0)$ or vice versa, but in any case past is substantially different than future. Now we can extend this difference to the whole continuous fields $\gamma^{\mu}(x)$ and $-\gamma^{\mu}(x)$: in this may, the timeorientation of the space-time has been established. Since the field $\gamma^{\mu}(x)$ is defined all over the manifold, it can be used *locally* at each point *x* to define the future and the past lobes: for instance, if we have called "future" the direction of $\gamma^{\mu}(x)$, $C_+(x)$ contains $\gamma^{\mu}(x)$ and $C_-(x)$ contains $-\gamma^{\mu}(x)$.

Even if this solution is general for generic temporally orientable universes having a cosmic time, it would be desirable to show how the global time-orientation is reflected in everyday physics, where time-asymmetry manifests itself in terms of time-asymmetric energy fluxes. This task will lead us to impose reasonable restrictions in the considered cosmological model in such a way that the explanation of local time-asymmetry applies, not to the generic case, but rather to the particular case of our own universe.

i. Up to this point, global time-asymmetry has been considered as a substantial asymmetry of the geometry of the universe, embodied in the metric tensor $g_{\mu\nu}(x)$ defined at each point of the space-time. Perhaps the easiest way to see how this geometrical time-asymmetry is translated into local physical terms is to consider the energy-momentum tensor $T_{\mu\nu}$, which can be computed by using $g_{\mu\nu}(x)$ and its derivatives through Einstein's equation

$$T_{\mu\nu} - \frac{1}{8\pi} \left(R_{\mu\nu}(g) - \frac{1}{2} g_{\mu\nu} R(g) - \Lambda g_{\mu\nu} \right) = 0$$
(4)

The curvatures $R_{\mu\nu}(g)$, R(g) can be obtained from $g_{\mu\nu}(x)$ and its derivatives, and Λ is the cosmological constant. Now we impose a first condition: that our $T_{\mu\nu}$ turns out to be a "*normal*" or *Type I* energy-momentum tensor. Then, $T_{\mu\nu}$ can be written as

$$T_{\mu\nu} = s_0 V_{\mu}^{(0)} V_{\nu}^{(0)} + \sum_{i=1}^3 s_i V_{\mu}^{(i)} V_{\nu}^{(i)}$$
(5)

where $\{V_{\mu}^{(0)}, V_{\mu}^{(i)}\}$ is a well-defined orthonormal tetrad, $V_{\mu}^{(0)}$ is timelike and the $V_{\mu}^{(i)}$ are spacelike (i = 1, 2, 3) (see Hawking and Ellis, 1973; Lichnerowicz, 1955). Since we have assumed that the manifold is continuous, $g_{\mu\nu}(x)$ and also $T_{\mu\nu}(x)$ are continuously defined over the manifold (provided the derivatives of $g_{\mu\nu}(x)$ are also continuous); this means that $V_{\mu}^{(0)}(x)$ is a continuous unitary timelike vector field defined all over the manifold, which can play the role of the field $\gamma^{\mu}(x)$ if everywhere $s_0 \neq 0$ (if not, $V_{\mu}^{(0)}$, even if timelike, may change its sign when $s_o = 0$).

Here we impose a second condition: that the universe satisfies the *dominant energy condition*: i.e., $T^{00} \ge |T^{\mu\nu}|$ in any orthonormal basis

(namely, $s_o \ge 0$ and $s_i \in [-s_o, s_o]$). In this case, $s_o \ne 0^{15}$ and, then, $V_{\mu}^{(0)}(x)$ is continuous, timelike and nonvanishing. This means that $V_{\mu}^{(0)}(x)$ can play the role of $\gamma^{\mu}(x)$, with the advantage that it has a relevant physical origin. In this way, a time-orientation is chosen at each point x of the manifold, and the time-components of $T_{\mu\nu}$ acquire definite signs according to this orientation. Therefore we have translated the global time-asymmetry into local terms, endowing the local arrow with a physical sense.

- ii. Since we are now in local grounds, our new task is to understand the *local nature* of the characters in the play. If $T^{00} \ge |T^{\mu\nu}|$, then $T^{00} \ge |T^{i0}|$. Therefore, $T^{0\mu}$, which is usually but not rigorously conceived as the local energy flux, can also be considered as the coordinates of a timelike (or lightlike) vector that can be used $\gamma^{\mu}(x)$. This holds for all presently known forms of energy-matter and, so, there are in fact good reasons for believing that this should be the case in almost all situations (for the exceptions, see Visser, 1996).¹⁶
- iii. But, is really $T^{0\mu}$ the energy flux? To go even closer to every day physics, we must remember that $T_{\mu\nu}$ satisfy the "conservation" equation:

$$\nabla_{\mu}T^{\mu\nu} = 0$$

Nevertheless, as it is well known, this is not a true conservation equation since ∇_{μ} is a covariant derivative. The usual conservation equation with ordinary derivative reads

$$\partial_{\mu}\tau^{\mu\nu} = 0$$

where $\tau_{\mu\nu}$ is not a tensor and it is defined as

$$\tau_{\mu\nu} = \sqrt{-g}(T_{\mu\nu} + t_{\mu\nu})$$

where we have introduced a $t_{\mu\nu}$ that reads

$$\sqrt{-g} t_{\mu\nu} = \frac{1}{16\pi} \left[\mathcal{L}g_{\mu\nu} - \frac{\partial \mathcal{L}}{\partial g_{\mu\nu}, \lambda} g_{\mu\nu}, \lambda \right]$$

where \mathcal{L} is the system's Lagrangian. $t_{\mu\nu}$ is also an homogeneous and quadratic function of the connection $\Gamma^{\lambda}_{\mu\nu}$ (Landau and Lifchitz, 1970). Now we can consider the coordinates $\tau^{0\mu}$, which satisfy

$$\partial_{\mu}\tau^{0\mu} = \partial_{0}\tau^{00} + \partial_{i}\tau^{0i} = 0$$

¹⁵ If $s_0 = 0$ as $s_0 \ge |s_i|$ then $s_i = 0$ and $T^{\mu\nu} = 0$, and therefore $\{V_{\mu}^{(0)}, V_{\mu}^{(i)}\}$ would be undefined.

¹⁶ For example, some exceptions are Casimir effect, squeezed vacuum, Hawking evaporation, Hartle– Hawking vacuum, negative cosmological constant, etc. Most of these objects are too strange with respect to current observations of the universe to be considered as viable possibilities. Anyway, since our aim here is to translate the global arrow into local terms, these objects are not relevant in the local context of the region of the universe we inhabit. namely, a usual conservation equation. Even if $T^{0\mu}$ is not a four-vector, it can be defined in each coordinate system: in each system T^{00} can be considered as the energy density and τ^{0i} as the energy flux (the Poynting vector). This means that the field $\tau^{0\mu}(x)$ represents the spatialtemporal energy flow within the universe better than $^{0\mu}$.

In particular, in a local inertial frame where $\Gamma_{\nu\mu}^{\lambda} = 0$, we have $\tau_{\mu\nu} = \sqrt{-g}T_{\mu\nu}$: in orthonormal coordinates, the dominant energy condition will be now $\tau^{00} \ge |\tau^{i0}|$ and $\tau^{0\mu}$ will be timelike (or lightlike) and can be used as $\gamma^{\mu}(x)$. But $\tau^{0\mu}$ is just a local energy flow since it is defined in orthonormal local inertial frames. Nevertheless, in any moving frame with respect to the former one, if the acceleration of the moving frame is not very large, the $(\Gamma_{\nu\mu}^{\lambda})^2$ and the $t_{\mu\nu}$ are very small and the energy flux in the moving frame is timelike (or lightlike) for all practical purposes. This is precisely the case of the commoving frame of our present-day universe.

In summary, $\tau^{0\mu}$ (that can locally be considered as the four velocity of a quantum of energy carrying a message) is a timelike *local* energy flux and

- (a) It inherits the global time-asymmetry of $g_{\mu\nu}(x)$, i.e., the geometrical time-asymmetry of the universe.
- (b) It translates the global time-asymmetry into the local level: the lobes $C_{-}(x)$ receive an incoming flux of energy while the lobes $C_{+}(x)$ emit an outgoing flux of energy and, therefore, both kinds of lobes are substantially different.

Thus we can consider that the energy flux $\tau^{0\mu}$ is defined all over the universe, and this local time-asymmetric flux is the agency that produces time-asymmetry at every point within the universe. This phenomenon has been explained in all details (Castagnino, 1998; Castagnino and Gunzig, 1997b, 1999; Castagnino, and Laciana, 2002; Castagnino *et al.*, 1996, 2002), where we have introduced the classical Reichenbach-Davies diagram and the quantum, Reichenbach–Bohm diagram to illustrate it. In these contexts it is very easy to deduce the different arrows of time (electromagnetic, quaantum, thermodynamic, etc.) from the global timeasymmetry of the universe. We refer the reader to those papers to complete our view about the problem of the arrow of time. In particular, in Castagnino *et al.* (in press-b) we have established the substantial difference between t-symmetric twins corresponding to several fields of physics. Here we will only add a new case and make a relevant remark:

i. We show in Castagnino *et al.* (in press) that, in the Taub cosmological model, the Hamiltonian can be written as

$$H = \left(\sqrt{6}p_q + \frac{1}{\sqrt{6}}\sqrt{p_u^2 + (12\pi)^2 e^{6u}}\right) \left(\sqrt{6}p_q - \frac{1}{\sqrt{6}}\sqrt{p_u^2 + (12\pi)^2 e^{6u}}\right)$$

showing a two sheet structure, that is, another case of t-symmetric twins (clock-symmetric twins, in the language of (Castagnino *et al.*, in press-a). The constraint H = 0 force us to choose one sheet-twin: the energy flux, which establishes the substantial difference between the two members of the pair, supplies the criterion for the selection.

ii. The Postulate A.3 of the Axiomatic Quantum Field Theory (see Haag, 1996, p. 56, Eq. II.1.15) sates that the spectrum of the energy-momentum operator p^{μ} is confined to the future light semi-cone, that is, its eigenvalues p^{μ} satisfy

$$p^2 \ge 0 \qquad p^0 \ge 0$$

Condition $P^0 \ge 0$ makes the theory (and, as a consequence, all particle physics) a non-time-reversal invariant theory. But if we remember that $\tau^{0\mu}$ can be also considered as the linear momentum density, $p^{\mu} \sim \tau^{0\mu}$, the condition $p^0 \ge 0$ turns out to be a consequence of $\tau^{00} \ge |\tau^{i0}|$. Therefore, instead of imposing Postulate A.3 as an axiom of the theory, it can be justified on cosmological grounds.

7. CONCLUSION

The panorama is not completely closed yet: weak interactions should be included in this scenario. However, this fact would not diminish the relevance of the global nonentropic approach. From its very beginning, theoretical physics has tried to combine its different chapters in an unified formalism, and it is well known that unifications have always produced great advances in physics. Therefore, our future challenge will be to unify the weak interactions explanation with the global explanation, instead of abandoning the latter in favor of a local approach as many local-minded physicists insist.

As it is well known, there is never a last word in physics. Nevertheless, we can provisionally conclude that the global definition of the arrow of time can be used as a solid basis for studying other problems related with the time-asymmetry of the universe and its subsystems.

ACKNOWLEDGMENTS

We are very grateful to Gloria Dubner and Elsa Giacani for the footnote 3. This paper was partially supported by CONICET and the University of Buenos Aires.

REFERENCES

Bohm, A. (1979). Quantum Mechanics, Foundations and Applications, Springer-Verlag, Berlin.Bohm, A. and Gadella, M. (1989). Dirac Kets, Gamow Vectors, and Gel'fand triplets, Springer-Verlag, Berlin.

- Boltzmann, L. (1897). Annual of Physics 60, 392.
- Castagnino, M. (1998). The global nature of time asymmetry and the Bohm-Reichenbach diagram. In *Irreversibility and Causality (Proc. G. 21 Goslar 1996)*, A. Bohm, H. Doebner, and P. Kielanowski eds., Springer-Verlag, Berlin.
- Castagnino, M. (1989). Physical Review D 29, 2216.
- Castagnino, M. and Gunzig, E. (1997a). International Journal of Theortical Physics 36, 2545.
- Castagnino, M. and Gunzig, E. (1997b). International Journal of Theortical Physics 36, 2545.
- Castagnino, M. and Gunzig, E. International Journal of Theortical Physics 38, 47.
- Castagnino, M. and Laciana, C. (2002). Classical and Quantum Gravity 19, 2657.
- Castagnino, M. and Laura, R. (1997). Physical Review A 56, 108.
- Castagnino, M. and Lombardo, F. (1993). Physical Review D 48, 1722.
- Castagnino, M. and Mazzitelli, F. D. (1990). Physical Review D 42, 482.
- Castagnino, M., Gaioli, F., and Gunzig, E. (1996). Foundations of Cosmic Physics 16, 221.
- Castagnino, M., Giacomini, H., and Lara, L. (2000). Physical Review D 61, 107302.
- Castagnino, M., Giacomini, H., and Lara, L. (2001). Physical Review D 63, 044003.
- Castagnino, M., Gueron, J., and Ordoñez, A. (2002). Journal of Mathematical Physics 43, 705.
- Castagnino, M., Catren G., and Ferraro, R. (in press). Time asymmetries in quantum cosmology and the searching for boundary conditions to the Wheeler-De Witt equation. *Classical and Quantum Gravity*.
- Castagnino, M., Lara, L., and Lombardi, O. (in press). The cosmological origin of time-asymmetry. *Classical and Quantum Gravity* (LANL quant-ph/0211163).
- Davies, P. C. (1994). Stirring up trouble. In *Physical Origins of Time Asymmetry*, J. J. Halliwell, J. Perez-Mercader, and W. H. Zurek, eds., Cambridge University Press, Cambridge, UK.
- Earman, J. (1974). Phil. Scie. 41, 15.
- Ehrenfest, P. and Ehrenfest, T. (1959). *The Conceptual Foundations of the Statistical Approach in Mechanics*, Cornell University Press, Ithaca, (original 1912).
- Feynman, R. P., Leighton R. B., and Sands, M. (1964). The Feynman Lectures on Physics, Vol. 1, Addison-Wesley, New York.
- Haag, R. (1996). Local Quantum Physics. Fields, Particles, Algebras, Springer, Berlin.
- Hawking, S. and Ellis, J. (1973). *The Large Scale Structure of Space–Time*, Cambridge University Press, Cambridge, UK.
- Landau, L. and Lifchitz, E. (1970). Théorie des Champs, Editions Mir, Moscow.
- Lax, P. D. and Phillips, R. S. (1979). Scattering Theory, Academic Press, New York.
- Lichnerowicz, A. (1955). *Théories Relativistes de la Gravitation et de l'Electromagnetisme*, Masson, Paris.
- Mattews, G. (1979). Phil. Scie. 46, 82.
- Misner, C. W., Thorne K. S., and Wheeler, J. A. (1973). Gravitation, Freeman and Co., San Francisco.
- Penrose, R. (1979). Singularities and time asymmetry. In *General Relativity, an Einstein Centenary Survey*, S. Hawking and W. Israel, eds., Cambridge University Press, Cambridge, UK.
- Price, H. (1996). Time's Arrow and the Archimedes' Point, Oxford University Press, Oxford.
- Sachs, R. G. (1987). The Physics of Time-Reversal, University of Chicago Press, Chicago.
- Schutz, B. F. (1980). *Geometrical Methods of Mathematical Physics*, Cambridge University Press Cambridge, UK.
- Sudarshan, C. G., Chiu, C. B., and Gorini, V. (1978). Physical Review D 18, 2914.
- Visser, M. (1996). Lorentzian Wormholes, Springer-Verlag, Berlin.